

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:)	DIMENSIONS")
)		
ROCKWOOD et al.)	Prior Group Art Unit: 2671	
)		
Serial No.: Not Yet Assigned)	Prior Examiner: Lance W. Sealey	
)		
Filed: Herewith)	<u>INFORMATION DISCLOSURE</u>	
)	<u>STATEMENT</u>	
Atty. File No.: 3404-2-1)		
)		
For "COMPUTATIONAL GEOMETRY)	Express Mail Label: EV 331286156 US	
USING CONTROL GEOMETRY)		
HAVING AT LEAST TWO)		
DIMENSIONS")		

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the Examiner.

Copies of the cited references:

☒ Enclosed herewith are two references which are labeled with an asterisk. All other references are not enclosed.

☒ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to the U.S. Patent and Trademark Office in prior application Serial No. 09/360,029 filed July 23, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120

☐ To the best of applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

☒ Examiner's attention is drawn to the following co-pending applications, copies of which have been or are being submitted:

Serial No. 09/360,029 filed July 23, 1999

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no

better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

FEES

<input checked="" type="checkbox"/>	<p>37 CFR 1.97(b): No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is satisfies one of the following conditions ("X" indicates satisfaction):</p> <p><input checked="" type="checkbox"/> Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or</p> <p><input type="checkbox"/> Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or</p> <p><input type="checkbox"/> Before the mailing date of a first Office Action on the merits, or</p> <p><input type="checkbox"/> Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input type="checkbox"/>	<p>37 CFR 1.97(c): The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions:</p> <p>(1) a final action under 37 C.F.R. 1.113 or</p> <p>(2) a notice of allowance under 37 C.F.R. 1.311, or</p> <p>(3) an action that otherwise closes prosecution in the application.</p> <p>This Information Disclosure Statement is accompanied by:</p> <p><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p> <p>OR</p> <p><input type="checkbox"/> A check in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>37 CFR 1.97(d): This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c).</p> <p><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</p> <p>AND</p> <p><input type="checkbox"/> Applicants hereby requests consideration of the reference(s) disclosed herein. Enclosed is the fee in the amount of \$180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p> <p>Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</p>

Certification (37 C.F.R. 1.97(e))
(Applicable only if checked)

☐ The undersigned certifies that:

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).
- ☐ A copy of the communication from the foreign patent office is enclosed.

OR

- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3404-2-1	SERIAL NO. Not Yet Assigned
	APPLICANT ROCKWOOD et al.	
	FILING DATE Herewith	GROUP ART 2671

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	1	6,369,815*	4/9/02	Celniker et al.	345	420	
	2	6,256,038*	7/3/01	Krishnamurthy	345	419	
	3	6,133,922	10/17/2000	Opitz	345	420	
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	5	5,963,209	10/5/1999	Hoppe	345	419	
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	6	5,636,338	6/3/1997	Moreton	395	142	
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39	Barghiel et al., "Pasting Spline Surfaces", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <i>Vanderbilt University Press</i> 1995, pp. 31-40, ISBN 8265-1268-2
40	Brunnett et al., "Spline elements on Spheres" from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <i>Vanderbilt University Press</i> 1995, pp. 49-54, ISBN 8265-1268-2
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43	W.L.F. Degen, "High Accuracy Approximation of Parametric Curves", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <i>Vanderbilt University Press</i> 1995, pp. 83-98, ISBN 8265-1268-2
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56	Manni et al., " C^1 Comonotone Hermite Interpolation via Parametric Surfaces", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 333-342, ISBN 8265-1268-2
57	A. Markus et al., "Genetic Algorithms in Free Form Curve Design", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 43-54, ISBN 8265-1268-2
58	Even Mehlum, "Appeal and the Apple (Nonlinear Splines in \mathbb{R}^3)", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 365-384, ISBN 8265-1268-2
59	Helmut Pottmann, "Studying NURBS curves and Surfaces with Classical Geometry", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 413-438, ISBN 8265-1268-2
60	R. Schaback, "Creating Surfaces from Scattered Data Using Radial Basis Functions", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 477-496, ISBN 8265-1268-2
61	Sederberg, "Shape Blending of 2-D Piecewise Curves", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 497-506, ISBN 8265-1268-2

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63	Kenji Ueda, "Normalized Cyclide Bezier Patches", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 507-516, ISBN 8265-1268-2
64	Varady et al., "Vertex Blending Based on the Setback Split", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 527-542, ISBN 8265-1268-2
65	J. Warren, "Binary Subdivision Schemes for Functions over Irregular Know Sequences", from <u>Mathematical Methods for Curves and Surfaces</u> , Editors: Lyche and Schumaker, copyright <u>Vanderbilt University Press</u> 1995, pp. 543-562, ISBN 8265-1268-2
66	T.D. DeRose, "Applications of Multiresolution Surfaces", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 1-15
67	G. Albrecht, "A geometrical design handle for rational triangular Bezier patches", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 161-171
68	A. Nasri, "Interpolation of open B-spline curves by recursive subdivision surfaces", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 173-188
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70	Rausch et al. "Computation of medial curves on surfaces", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 43-68
71	M.J. Pratt, "Classification and characterization of supercyclides", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 25-41
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77	Hall et al., "Shape modification of Gregory patches", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 393-408
78	Peters et al., "Smooth blending of basic surfaces using trivariate box splines", <u>The Mathematics of Surfaces VII</u> , Editors: Goodman and Martin, <i>Information Geometers</i> , 1997, pp. 409-426
79	R.E. Barnhill, "Computer Aided Surface Representation and Design", <u>Surfaces In Computer Aided Geometric Design</u> , North-Holland Publishing, 1983, pp. 1-24
80	John A. Gregory, "C ¹ Rectangular and Non-Rectangular Surface Patches, <u>Surfaces In Computer Aided Geometric Design</u> , North-Holland Publishing, 1983, pp. 25-33

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82	Juergen Kahnmann, "Continuity of Curvature Between Adjacent Bezier Patches", <u>Surfaces In Computer Aided Geometric Design</u> , North-Holland Publishing, 1983, pp. 65-75
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